



PRECIOUS METALS BACKED SECURITIZED NOTES
INVESTOR APPLICATION FORM - INDIVIDUAL

The Anti-Money Laundering and Anti-Terrorism Financing laws and regulations of the Cayman Islands require us to obtain specific information relating to persons with whom we do business which includes the source of funds with which transactions are maintained. This information is used to assist with client due diligence and for KYC purposes, and is held strictly confidential, presentable only upon a Court Order issued by the Courts of the Cayman Islands.

Once Strategic Wealth Preservation Capital (Cayman) Ltd. (SWPC) has reviewed your application and approves it, SWPC will send you a Subscription Agreement for your acceptance.

Investor Information

1. Full Name: _____

2. Date of Birth: _____

3. Permanent Residential Address:

4. Postal Address (if different from above):

5. Contact Information

Home/Office: _____

Mobile: _____

Email: _____



6. I am a citizen of _____ and a resident of _____.

7. Occupation/Profession:

(if retired, please give former profession)

8. Employer: _____

Employer Address:

9. Source of Wealth:

(Please describe from what sources have you obtained your wealth ie. business, dividends, employment income, sale of a business, real estate or other investment, inheritance, etc.)

10. Source of Funds - from which financial institution will your funds be wired from? Please provide name and address.



11. Are you considered, or are you related to a Politically Exposed Person (PEP)?

Yes No

A Politically Exposed Person is an individual who holds or has held at any time in the preceding 12 months, in any country, certain prominent public functions. This includes heads of state or government, government ministers, elected officials, government representatives, senior judicial or military officials, senior foreign representatives, ambassadors or high commissioners, senior executives of state/government owned enterprises and reserve banks.

Declaration

As of this _____ day of _____, 20_____, I declare that all of the above information and statements are true and correct.

I declare that all of my assets and income have been generated from legitimate business activities.

I further declare that I have obtained all relevant tax advice in relation to the conducting of business, financial transactions or owning of property or assets in the Cayman Islands.

Print name

Signature

Witness - Print name

Witness - Signature

Witness Contact Information (phone & email)
